

# 6.S895: Quantum Cryptography

## Lecture: Pseudorandom Quantum States

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There are several reasons that notions of random and pseudo-random quantum states are interesting and useful. It is not hard to show that a random bipartite quantum state is highly entangled; a study of random and pseudorandom states is useful in understanding the notions of entanglement and more recently, *pseudoentanglement*. In cryptography, the notion of pseudorandom quantum states has emerged as a powerful lens through which to study the question of *what is the minimal assumption in quantum cryptography*. In classical cryptography, one-way functions are minimal. Pseudorandom quantum states are interesting because (a) the existence of such states implies the existence of much of quantum cryptography, far beyond key exchange; and (b) pseudorandom states could exist even when much of classical cryptography collapses (e.g. one-way functions do not exist) and even when many complexity classes collapse (e.g.  $\mathcal{P} \neq \mathcal{NP}$  and even  $BQP = QMA$ ).

**Notation.** For  $d \in \mathbb{N}$ , let  $S(d)$  denote the set of unit vectors in  $\mathbb{C}^d$ , that is all  $|\psi\rangle \in \mathbb{C}^d$  such that  $\langle\psi|\psi\rangle = 1$ . Let  $U(d)$  denote the set of all  $d$ -by- $d$  unitary matrices (acting on  $\mathbb{C}^d$ ).

### 1 Haar-Random Quantum States

How should one define a “random quantum state”? Let’s start by comparing classical randomness with quantum randomness, starting from a simple example. Consider the case of a random bit versus a random qubit. In the classical case, the sample space is  $\Omega = \{0, 1\}$ , and a random qubit gives us the state

$$\mathbb{E}_{b \leftarrow \{0,1\}}[|b\rangle\langle b|] = \frac{1}{2} \cdot |0\rangle\langle 0| + \frac{1}{2} \cdot |1\rangle\langle 1| = \frac{I}{2}$$

whereas in the quantum state, it is a random unit vector, so  $\Omega = S(2)$ . It turns out that

$$\mathbb{E}_{|\psi\rangle \leftarrow S(d)}[|\psi\rangle\langle\psi|] = I/2$$

So, what’s the difference? Imagine you have an ensemble of states  $\{(p_i, v_i)\}$ , you sample a state  $v_i$  at random according to the probability distribution  $p_i$  and give  $t$  copies of this state, that is,  $|v_i\rangle^{\otimes t}$ . In the classical case, this is  $|0\rangle^{\otimes t}$  with probability  $1/2$ , and  $|1\rangle^{\otimes t}$  with probability  $1/2$ . In the quantum case, this is  $|\psi\rangle^{\otimes t}$  for a “random quantum state”. While we saw above that these two states are identical (have identical density matrices) when  $t = 1$ , these are *distinguishable* the moment  $t$  is larger than 1. This is not hard to see: in the classical case (when the state is  $\frac{1}{2} \cdot (|0\rangle\langle 0| + |1\rangle\langle 1|)$ ) with  $t = 2$ , “measuring” the two states in the  $Z$  basis gives identical results, whereas in the quantum case (when the state is  $|\psi\rangle^{\otimes 2}$ ), the measurement will produce independent random bits.

**Definition 1** (Haar Measure). *The Haar measure  $\mu_H$  is the unique left/right invariant measure over the unitary group  $U(d)$ . That is, for every “nice” function  $f : \mathbb{C}^{d \times d} \rightarrow \mathbb{C}$  and every  $V \in U(d)$ ,*

$$\mathbb{E}_{U \leftarrow U(d)}[f(U)] = \mathbb{E}_{U \leftarrow U(d)}[f(U \cdot V)] = \mathbb{E}_{U \leftarrow U(d)}[f(V \cdot U)]$$

where

$$\mathbb{E}_{U \leftarrow U(d)}[f(U)] = \int_{U(d)} f(U) d_{\mu_H} U$$

The definition of  $\mu_H$  also extends to states in the following way:

$$\mathbb{E}_{|\psi\rangle \leftarrow S(d)}[f(|\psi\rangle\langle\psi|)] \stackrel{\text{def}}{=} \mathbb{E}_{U \leftarrow U(d)}[f(U|0\rangle\langle 0|U^\dagger)]$$

## 2 The Symmetric Subspace

Let  $P_d(\sigma) \in \{0, 1\}^{d^t \times d^t}$  be the matrix representation of the permutation  $\sigma \in S_t$  acting on  $[d]^t$ . That is,

$$P_d(\sigma) = \sum_{i_1, \dots, i_t \in [d]} |i_{\sigma(1)}, \dots, i_{\sigma(t)}\rangle \langle i_1, \dots, i_t| .$$

Indeed, note that

$$P_d(\sigma) |i_1, \dots, i_t\rangle = |i_{\sigma(1)}, \dots, i_{\sigma(t)}\rangle$$

Let

$$\text{Sym}_t(\mathbb{C}^d) = \{|\psi\rangle \in (\mathbb{C}^d)^{\otimes t} : P_d(\sigma)|\psi\rangle = |\psi\rangle \text{ for all } \sigma \in S_t\} \quad (1)$$

be a subspace of  $(\mathbb{C}^d)^{\otimes t}$  which is invariant under the action of  $P_d(\sigma)$  for any  $\sigma \in S_t$ .

We show a few important facts about the symmetric subspace and its relation to the Haar measure.

**Lemma 2.** For any  $\rho, \sigma \in S_t$ ,

$$P_d(\rho)P_d(\sigma) = P_d(\rho\sigma)$$

*Proof.*

$$\begin{aligned} P_d(\rho)P_d(\sigma) &= \left( \sum_{i_1, \dots, i_t \in [d]} |i_{\rho(1)}, \dots, i_{\rho(t)}\rangle \langle i_1, \dots, i_t| \right) \left( \sum_{i_1, \dots, i_t \in [d]} |i_{\sigma(1)}, \dots, i_{\sigma(t)}\rangle \langle i_1, \dots, i_t| \right) \\ &= \left( \sum_{i_1, \dots, i_t \in [d]} |i_{\rho(\sigma(1))}, \dots, i_{\rho(\sigma(t))}\rangle \langle i_{\sigma(1)}, \dots, i_{\sigma(t)}| \right) \left( \sum_{i_1, \dots, i_t \in [d]} |i_{\sigma(1)}, \dots, i_{\sigma(t)}\rangle \langle i_1, \dots, i_t| \right) \\ &= \sum_{i_1, \dots, i_t \in [d]} |i_{\rho(\sigma(1))}, \dots, i_{\rho(\sigma(t))}\rangle \langle i_{\sigma(1)}, \dots, i_{\sigma(t)}| i_{\sigma(1)}, \dots, i_{\sigma(t)}\rangle \langle i_1, \dots, i_t| \\ &= \sum_{i_1, \dots, i_t \in [d]} |i_{\rho(\sigma(1))}, \dots, i_{\rho(\sigma(t))}\rangle \langle i_1, \dots, i_t| \\ &= P_d(\rho\sigma) \end{aligned}$$

where the third equality is due to orthogonality of the basis vectors  $|i_1, \dots, i_t\rangle$  and  $|i'_1, \dots, i'_t\rangle$  whenever  $(i_1, \dots, i_t) \neq (i'_1, \dots, i'_t)$ .  $\square$

**Lemma 3.** The orthogonal projector onto  $\text{Sym}_t(\mathbb{C}^d)$  is

$$\Pi_{\text{sym}}^{d,t} = \frac{1}{t!} \sum_{\sigma \in S_t} P_d(\sigma)$$

*Proof.* First, note that for any  $\rho \in S_t$ ,

$$P_d(\rho) \cdot \Pi_{sym}^{d,t} = \frac{1}{t!} \sum_{\sigma \in S_t} P_d(\rho) P_d(\sigma) = \frac{1}{t!} \sum_{\sigma \in S_t} P_d(\rho\sigma) = \frac{1}{t!} \sum_{\sigma \in S_t} P_d(\sigma) = \Pi_{sym}^{d,t} \quad (2)$$

Similarly,

$$\Pi_{sym}^{d,t} P_d(\rho) = \Pi_{sym}^{d,t}$$

It is then straightforward to check that

$$(\Pi_{sym}^{d,t})^2 = \Pi_{sym}^{d,t}$$

which is a necessary and sufficient condition for  $\Pi_{sym}^{d,t}$  to be an orthogonal projector.

We now prove that  $\text{Im}(\Pi_{sym}^{d,t}) = \text{Sym}_t(\mathbb{C}^d)$ . In one direction, for any  $|\psi\rangle$  and any  $\rho \in S_t$ ,

$$P_d(\rho) \cdot \Pi_{sym}^{d,t} |\psi\rangle = \Pi_{sym}^{d,t} |\psi\rangle$$

by Equation 2, meaning that  $\text{Im}(\Pi_{sym}^{d,t}) \subseteq \text{Sym}_t(\mathbb{C}^d)$ . In the other direction, if  $|\psi\rangle \in \text{Sym}_t(\mathbb{C}^d)$ ,

$$\Pi_{sym}^{d,t} |\psi\rangle = \frac{1}{t!} \sum_{\sigma \in S_t} P_d(\sigma) |\psi\rangle = \frac{1}{t!} \cdot t! \cdot |\psi\rangle = |\psi\rangle$$

meaning that  $|\psi\rangle \in \text{Im}(\Pi_{sym}^{d,t})$ . Thus,  $\text{Sym}_t(\mathbb{C}^d) \subseteq \text{Im}(\Pi_{sym}^{d,t})$  as well, establishing equivalence.  $\square$

To show the next, and the most crucial, theorem, we need Schur's lemma from representation theory. We state it here in elementary language, but refer the reader to a standard textbook, e.g. [Ser77], for the (relatively simple) proof.

**Theorem 4.**

$$\mathbb{E}_{|\psi\rangle \leftarrow S(d)} [|\psi\rangle \langle \psi|^{\otimes t}] = \frac{\Pi_{sym}^{d,t}}{\text{Tr}(\Pi_{sym}^{d,t})}$$

where  $\text{Tr}(\Pi_{sym}^{d,t}) = \dim(\text{Sym}_t(\mathbb{C}^d)) = \binom{t+d-1}{t}$ .

*Proof.* Letting

$$\rho := \mathbb{E}_{|\psi\rangle \leftarrow S(d)} [|\psi\rangle \langle \psi|^{\otimes t}],$$

we first note that for any unitary  $U$ ,

$$U^\dagger \rho U = \rho$$

An application of Schur's lemma tells us that  $\rho$  is proportional to the identity operator on the space  $[d]^n$  which is indeed  $\Pi_{sym}^{d,t}$ . The right normalization constant is indeed the trace of  $\Pi_{sym}^{d,t}$  since the trace of the LHS is 1.  $\square$

For more facts about and applications of the symmetric subspace, we refer the reader to Harrow's excellent survey [Har13].

### 3 Pseudorandom Quantum States (PRS): Definition

**Definition 5** (Pseudorandom Quantum State). Let  $\mathcal{K} = \{K_\lambda \subseteq \{0, 1\}^\lambda\}_{\lambda \in \mathbb{N}}$  be a subset of strings that define the key-space of the PRS. For a given  $\lambda$  and  $n \in \mathbb{N}$ , a family of states

$$\Phi_\lambda := \{ |\phi_k\rangle \in S(2^n) \}_{k \in K_\lambda}$$

is a pseudorandom quantum state if:

- There is a QPT algorithm Gen such that

$$\text{Gen}(1^\lambda, k, |0\rangle) = |\phi_k\rangle$$

- For every polynomial function  $\text{poly}(\cdot)$ ,  $t = \text{poly}(n, \lambda)$ , and every QPT adversary  $A$ ,

$$\left| \Pr_{k \leftarrow K_\lambda} [A(|\phi_k\rangle \langle \phi_k|^{\otimes t})] - \Pr_{|\phi\rangle \leftarrow S(2^n)} [A(|\phi\rangle \langle \phi|^{\otimes t})] \right| = \text{negl}(\lambda) \quad (3)$$

We will also write this succinctly as

$$\mathbb{E}_{k \leftarrow K_\lambda} [|\phi_k\rangle \langle \phi_k|^{\otimes t}] \approx_c \mathbb{E}_{|\phi\rangle \leftarrow S(2^n)} [|\phi\rangle \langle \phi|^{\otimes t}] \quad (4)$$

A related notion is that of a state  $t$ -design which can be viewed as both a strengthening and weakening of a PRS: a weakening in the sense that pseudorandomness is only required to hold given an a-priori bounded polynomial number of copies of the state, namely  $t = t(n)$  copies, and a strengthening in the sense that the states on both sides of equation 4 are required to be *identical* and not merely computationally indistinguishable. In this sense, the condition defining a state  $t$ -design is analogous to  $t$ -wise independence, whereas that defining a pseudorandom quantum state is analogous to (computational) pseudorandomness.

**Definition 6** (State  $t$ -design). An ensemble  $\nu = \{p_i, |\psi_i\rangle\}$  over  $d$ -dimensional states is a state  $t$ -design if

$$\mathbb{E}_{|\psi\rangle \leftarrow \nu} [|\psi\rangle \langle \psi|^{\otimes t}] = \mathbb{E}_{|\psi\rangle \leftarrow S(d)} [|\psi\rangle \langle \psi|^{\otimes t}] \quad (5)$$

A weakening of the notion of state  $t$ -design asks for equation 5 to be approximate, in the sense that the LHS and RHS are  $\varepsilon$ -close in trace distance for some  $\varepsilon = \varepsilon(n)$ .

### 4 PRS Construction

We are now ready to show how to construct a pseudo-random quantum state. We will refer to the construction as a binary phase PRS, for a reason that will become clear shortly.

**Theorem 7.** *If post-quantum secure one-way functions exist, then there exists a family of pseudo-random quantum states.*

**Construction.** Since post-quantum secure one-way functions imply post-quantum secure pseudorandom functions, we will use a pqPRF family  $\mathcal{F} = \{F_k\}_{k \in \{0,1\}^\lambda}$  as a building block.

- $\text{Gen}(1^\lambda)$  samples a PRF key  $k \leftarrow \{0, 1\}^\lambda$ .
- $\text{Eval}(k)$  outputs the quantum state

$$|\psi_k\rangle = \frac{1}{\sqrt{2^n}} \cdot \sum_{x \in \{0,1\}^n} (-1)^{F_k(x)} |x\rangle$$

We first show that  $\text{Eval}$  runs in quantum polynomial-time, making a single quantum query to the PRF. To prepare  $|\psi_k\rangle$ ,  $\text{Eval}$  starts by preparing

$$H^{\otimes n} |0\rangle = \frac{1}{\sqrt{2^n}} \cdot \sum_{x \in \{0,1\}^n} |x\rangle_{\mathcal{X}}$$

Then, compute the PRF  $F_k$  in superposition to get

$$\frac{1}{\sqrt{2^n}} \cdot \sum_{x \in \{0,1\}^n} |x\rangle_{\mathcal{X}} |F_k(x)\rangle_{\mathcal{Y}}$$

Compute  $I_{\mathcal{X}} \otimes Z_{\mathcal{Y}}$  (that is,  $Z$  acting on the second register) to get

$$\frac{1}{\sqrt{2^n}} \cdot \sum_{x \in \{0,1\}^n} (-1)^{F_k(x)} |x\rangle_{\mathcal{X}} |F_k(x)\rangle_{\mathcal{Y}}$$

Finally, uncompute  $F_k$  to get the PRS state (where we suppress the register name)

$$|\psi_k\rangle := \frac{1}{\sqrt{2^n}} \cdot \sum_{x \in \{0,1\}^n} (-1)^{F_k(x)} |x\rangle$$

Since all phases are binary, this construction is also called a binary phase PRS.

**Security.** We now prove the security of this construction. Recall that the goal is to show that

$$\mathbb{E}_{k \leftarrow \mathcal{K}}[|\phi_k\rangle \langle \phi_k|^{\otimes t}] \quad \text{and} \quad \mathbb{E}_{|\psi\rangle \leftarrow \mathcal{S}(d)}[|\psi\rangle \langle \psi|^{\otimes t}]$$

are computationally indistinguishable to any quantum polynomial-time distinguisher, for any  $t = \text{poly}(\lambda)$ . Consider the following sequence of hybrid expressions, each of which defines a mixed state.

- **Hybrid 1** is the (mixed) state

$$\mathbb{E}_{k \leftarrow \mathcal{K}}[|\phi_k\rangle \langle \phi_k|^{\otimes t}]$$

where  $F_k$  is the PRF.

- **Hybrid 2** is the (mixed) state

$$\mathbb{E}_{f \leftarrow \mathcal{F}}[|\phi_f\rangle \langle \phi_f|^{\otimes t}]$$

where  $f$  is a uniformly random function from  $\{0, 1\}^n$  to  $\{0, 1\}$  and

$$|\phi_f\rangle = \frac{1}{\sqrt{2^n}} \cdot \sum_{x \in \{0,1\}^n} (-1)^{f(x)} |x\rangle$$

- **Hybrid 3.** To define Hybrid 3, let's write out explicitly the state in Hybrid 2 first:

$$\mathbb{E}_{f \leftarrow \mathcal{F}}[|\phi_f\rangle\langle\phi_f|^{\otimes t}] = 2^{-nt} \cdot \sum_{x_1, \dots, x_t, y_1, \dots, y_t} \mathbb{E}_{f \leftarrow \mathcal{F}}[(-1)^{f(x_1)+\dots+f(x_t)+f(y_1)+\dots+f(y_t)}] |x_1, \dots, x_t\rangle\langle y_1, \dots, y_t|$$

Project this state onto the subspace of distinct  $x_1, \dots, x_t$  and distinct  $y_1, \dots, y_t$ , and post-select on the event that the  $x_i$  are distinct and the  $y_i$  are distinct. This will be the state

$$\frac{1}{\binom{2^n+t-1}{t}} \cdot \sum_{\substack{x_1, \dots, x_t \text{ distinct,} \\ y_1, \dots, y_t \text{ distinct}}} \mathbb{E}_{f \leftarrow \mathcal{F}}[(-1)^{f(x_1)+\dots+f(x_t)+f(y_1)+\dots+f(y_t)}] |x_1, \dots, x_t\rangle\langle y_1, \dots, y_t| \quad (6)$$

- **Hybrid 4** is the (mixed) state

$$\mathbb{E}_{|\psi\rangle \leftarrow S(d)}[|\psi\rangle\langle\psi|^{\otimes t}]$$

**Lemma 8.** *Hybrids 1 and 2 are computationally indistinguishable.*

*Proof.* The states in hybrid 1 and 2 can be prepared with  $t$  oracle queries either to a function  $f_k$  chosen at random from  $\mathcal{F}$  or to a uniformly random function  $f$ . By the post-quantum security of the PRF family  $\mathcal{F}$  (against an adversary that can make quantum superposition queries), no quantum polynomial-time distinguisher can tell these two oracles apart, which in turns implies that the two hybrids are computationally indistinguishable.  $\square$

**Lemma 9.** *Hybrids 2 and 3 are statistically indistinguishable.*

*Proof.* This follows by the gentle measurement lemma (Lemma 11) as the only difference between hybrid 3 can be obtained from hybrid 2 by projecting to the subspace of  $[2^n]^t$  where all  $t$  components are different. This happens with overwhelming probability as long as  $t \ll 2^{n/2}$ , therefore an application of gentle measurement lemma does the job.  $\square$

**Lemma 10.** *Hybrids 3 and 4 are identical.*

*Proof.* The expectation in equation 6 is 0 whenever  $x_1, \dots, x_t$  is *not* a permutation of  $y_1, \dots, y_t$  and 1 otherwise. Then, this expectation is exactly  $\frac{\Pi_{\text{sym}}^{d,t}}{\text{Tr}(\Pi_{\text{sym}})}$  which is precisely Hybrid 4, by Theorem 4.  $\square$

## 5 Supporting Lemmas

**Lemma 11** (Gentle Measurement Lemma). *Let  $\rho$  be a state and  $\Pi$  be a projector such that*

$$\text{Tr}(\Pi\rho) \geq 1 - \varepsilon$$

*for some  $\varepsilon \geq 0$ . Then,*

$$\text{TD}\left(\rho, \frac{\Pi\rho\Pi}{\text{Tr}(\Pi\rho)}\right) \leq \sqrt{\varepsilon}$$

*Proof.* First consider the case when  $\rho$  is a pure state  $|\psi\rangle\langle\psi|$ . Then, the fidelity between  $\rho$  and the post-measurement state  $\frac{\Pi\rho\Pi}{\text{Tr}(\Pi\rho)}$  is

$$\frac{\langle\psi|\Pi|\psi\rangle\langle\psi|\Pi|\psi\rangle}{\langle\psi|\Pi|\psi\rangle} = \langle\psi|\Pi|\psi\rangle \geq 1 - \varepsilon$$

where the last equality is by assumption. By the monotonicity of the fidelity function (Lemma ??), this is true for a general mixed state as well. That is,

$$F\left(\rho, \frac{\Pi\rho\Pi}{\text{Tr}(\Pi\rho)}\right) \geq 1 - \varepsilon$$

Using the relation between the fidelity and trace distance (Lemma 12) finishes up the proof.  $\square$

**Lemma 12** (Fidelity and Trace Distance). *The following bound applies to the trace distance and the fidelity between two quantum states  $\rho, \sigma \in S(H)$  that live on a Hilbert space  $H$ :*

$$1 - \sqrt{F(\rho, \sigma)} \leq \text{TD}(\rho, \sigma) \leq \sqrt{1 - F(\rho, \sigma)}$$

## References

- [Har13] Aram W. Harrow. The church of the symmetric subspace, 2013.
- [Ser77] Jean-Pierre Serre. *Linear representations of finite groups.*, volume 42 of *Graduate texts in mathematics*. Springer, 1977.